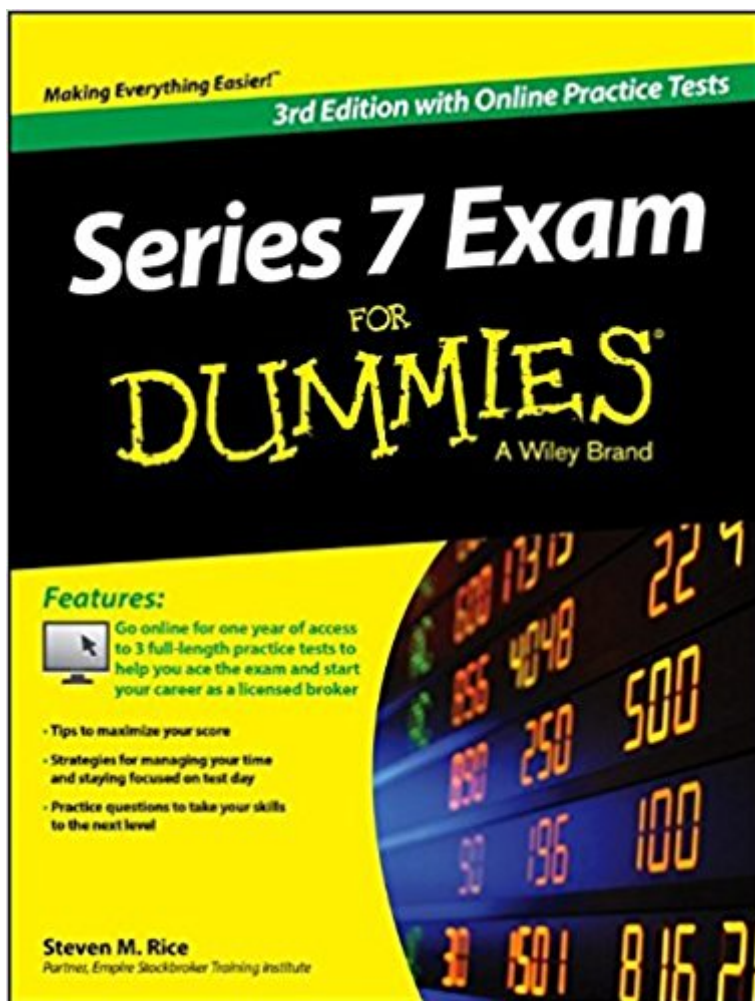


The book was found

Series 7 Exam For Dummies, With Online Practice Tests



Synopsis

Take your Series 7 exam preparation to the next level Knowing exactly what to study for any exam is daunting. There's so much information out there, how can you possibly know what will be on the test? Series 7 Exam For Dummies, 3rd Edition with Online Practice Tests is a critical reference if you're prepping for your Series 7 examination. Packed with the latest information associated with this test, as well as proven test-taking strategies that are focused on maximizing your score, this easy-to-use and comprehensive text has been updated to support you in your test taking preparations. The Series 7 exam is no joke – with 250 questions administered in two three-hour blocks, this test is certainly a challenge. Studying for your Series 7 can be intimidating, but with the proper preparation you'll be able to enter the exam room with confidence. Put your knowledge to the test with two full-length practice tests and answers, which include full explanations to improve your understanding of the content Access an additional full-length practice test and other study tools online Leverage proven strategies and tips that will guide you in honing your test-taking skills Build up the confidence you need to enter the exam with self-assurance and start your career as a licensed broker on the right foot Series 7 Exam For Dummies, 3rd Edition with Online Practice Tests is a fundamental resource that guides you through your preparations for the Series 7 exam.

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Your ticket to acing the Series 7 exam! No doubt about it, the Series 7 exam can be a bear. Series 7 Exam For Dummies arms you with what you need to score high on the test and pass the exam. Designed to let you build and fine-tune your knowledge of all areas covered and guarantee that you're prepared mentally and strategically to take the test, you'll learn how to study for this exam and think through problems, plus you discover what the common mistakes are and how to dodge them. Great tips, memory tricks, and plenty of sample questions get you ready to go! Find out what you're getting into • see how to sign up for the exam, explore study strategies, and learn how the questions are structured Feel secure with securities • review securities underwriting, equity securities, debt securities, and municipal bonds Handle the next level • learn about margin accounts, delivering diversification, direct participation programs, and options Please your customers • master portfolio analysis, handle trades efficiently, and understand tax requirements Play by the rules • know how to keep the securities regulatory agencies happy and your customers safe Go online • with your free one-year subscription for more study tools and an extra practice exam Go online to access even more practice questions to prepare you for test day Open the book and find: Topics that always appear on the exam Ways to maximize your test score Insider advice to help you study Sample test questions with answers and explanations Language and lingo you need to know Information to help you be a better broker Tips for surviving test day One-year access to additional practice online

Steven M. Rice earned an exceptionally high score on the Series 7 exam and soon began tutoring others in the broker dealership where he worked as a stockbroker. He developed and designed the online Empire Stockbroker Training Institute and has coauthored a complete library of securities training manuals.

I am completely new (June hire) to the Financial Services industry and in a role at a firm requiring a Series 7 license. After completing a very costly Life and Health class and exam (both in financial and time terms), I was looking for a way to save myself time and the firm money by simply studying for the Series 7 independently. I was familiar with the "for Dummies" series and the online reviews of the book were already decent, so I went with it. To make a long story of study and practice short, I passed on my first attempt with an 80%. Here are some qualifiers though if you have more time: First of all, I am not completely new to investing. I have done my own trading for 6-7 years. On top of that, I did not solely study from the "for Dummies" book. I also used the free study guide materials on Investopedia.com to supplement what I was reading (search Series 7 Guide on their site). Then

too, I happen to be fortunate enough to work at a firm that allowed me the time to study independently during the work day. I also am self-motivated and enjoy learning enough to keep myself focused without needing a structured course. If you are also going to try and use the "S7 for Dummies" as a stand-alone resource, do be aware that, after having taken the exam, I realized that the questions in the book, the online practice exams, and the companion 1001 Series 7 for Dummies Practice Question book are easier than what the actual S7 will feel like. I was finishing the online practice exams in about 1/2 the allotted time (1.5/3 hrs) at a 82%-86% score. When it came to the actual exam, I used every second of my 6 hours of allotted time. There are also a couple of incorrect answers or poorly worded questions between the three sources that would prevent me from giving these materials 5 stars (the same is true for portions of Investopedia). That said, I think author Steven Rice did a fantastic job of consolidating the important pieces of a very broad information base. The text was very readable and, dare I say, even enjoyable at points. His approach to figuring out options and his explanations of other complex topics are easy to understand and, more importantly, they work on the exam. Having access to the online practice is invaluable. I spent close to 20 hours doing practice exams and quizzes alone. If you are new to Financial Services and need an easy-to-understand source for the S7, this will do the job at a fraction of the cost of onsite classes. Good luck!

Perhaps I can give some perspective here: I am studying intensely to take the series 7 as I have been admitted into a program through Spartan Capital in Wall Street NYC. It's not easy - there's a boatload of information to know and many details can be overlooked. This book is an addendum to the readings and training programs that are currently being given to me in the program. It is a valuable asset in the quest to conquer the 7, but BUYER BEWARE: all the material is not even close to covered in this book. I can easily imagine someone like myself who wanted to independently study for the 7 before sponsorship buying this book, mastering it, and thinking I can master the test as well. If you are one of those people, you will be more than disappointed when you take the test. All the book really did was explain some concepts in a different way and that helped crystallize it in my mind. In itself it is not sufficient and you wouldn't know that just by the title. At least at the end of the chapters the author makes a list of concepts that you should know related to the subject matter. The lists are extensive and I wonder why those concepts as important as they are weren't covered in the book. My guess is because the amount of information on the 7 is so vast that the book wouldn't be digestible. Fair enough, but not something an amateur would know based off the title. The online resources are pretty good from what I have seen and at least they are

included in the purchase. As far as the book itself, I initially rated it a 4 then changed it to a 3 then changed it back to a 4 because even though not nearly everything related to the 7 was covered, the concepts that were were explained very lucidly and the appendix chapters gave some good advice. There is also two practice tests with well-explained answers at the end of the text. I have recommended this book to colleagues (thanks Mr. Rice).

This book was essential in helping me pass my series 7 last week. The way the author explains the options portion was easy to understand along with all the other sections in the book. I still believe you need to use this as a supplement to Kaplan's textbook and Qbank for practice questions. I used the Dummies 1001 practice questions as well and found it to be very helpful because the rationale is provided for all the questions.

The writing provided a little relief from the boorish textbook. It was able to produce examples where the textbook didn't. The online quizzes were excellent and provided a variety from my self study textbook. Passed the test on the first time with the help of this book.

A gift - they got it right away and were very pleased.

This book has great study tips and techniques. This is an easy to use reference. I also enjoy the online test bank. I am looking forward to passing the Series 7!

I passed. Well worth the purchase

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